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JC871 U.S. PRO

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11-20-00 09/1700901 PCT  
527 Rec PCT/PTO 17 NOV 2000

PATENT

Docket No.

108-C1553US

TRANSMITTAL OF PATENT APPLICATION -  
TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Box: Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Inventor(s): Peter KUHLMANN and Reinhard WINTER

Title: ALKYL RESIN EMULSIONS AND UTILIZATION OF THE SAME  
International Application No.: WO 99/60044  
International Filing Date: 19 May 1998  
Priority Date Claimed: 19 May 1998

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☒ is attached hereto (required only if not communicated by the International Bureau).
- b. ☐ has been communicated by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
3. ☒ A Verification of Translation.
4. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
5. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are attached hereto (required only if not communicated by the International Bureau).
- b. ☐ have been communicated by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
6. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
7. ☒ A Communication Regarding Substitute Specification and Substitute Specification.
8. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
9. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
10. ☒ The application includes 0 sheet(s) of drawings.
11. ☒ The fee for filing the application is computed as follows:

Claims As Filed				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee* \$860.
Total Claims	10 -20 =	0	x \$18.	\$0
Independent Claims	1 -3 =	0	x \$80.	\$0
Additional fee for filing one or more multiple dependent claims			\$270.	\$0
			Total amount due →	\$860

\*International fee not paid to United States Patent and Trademark Office but International Search Report prepared by EPO or IPO

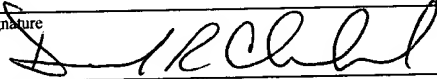
U97 7 00901  
526 Rec'd PCT/PTO 17 NOV 2000


**TRANSMITTAL OF PATENT APPLI  
TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371 (Cont'd...)**

12. ☒ Enclosed is a check in the amount of \$860 for the filing fee.
13. ☒ Please charge to Deposit Account 501208 any fees under 37 CFR 1.16 and 1.17 which may be required for filing or otherwise during the entire pendency of this application. This authorization includes the fee for any extension of time under 37 CFR 1.136(a) that may be necessary. To the extent any such extension should become necessary it is hereby requested. A copy of this transmittal letter for fee processing is enclosed.
14. ☒ Enclosed is a return receipt postcard

Registration Number	Telephone Number
29,524	651.251.2250
Date 17 November 2000	

Respectfully submitted,

Signature 
Printed Name David R. Cleveland

Certificate of Express Mailing	
Pursuant to 37 CFR 1.10 I certify that this application is being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
Express Mail Mailing Label No. EF285972778US	Signature of Person Mailing Application 
Date of Deposit November 17, 2000	Printed Name of Person Mailing Application David R. Cleveland

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Peter KUHLMANN and Reinhard  
WINTER

Serial No.:  
Filed: November 17, 2000  
For: **ALKYD RESIN EMULSIONS  
AND USES THEREOF**

Group Art Unit:

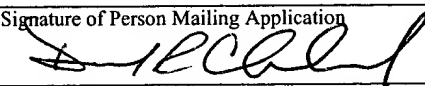
Examiner:

COMMUNICATION REGARDING SUBSTITUTE SPECIFICATION

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is a Substitute Specification for the above-identified application. The application corresponds to International Application WO 99/60044, filed May 19, 1999, and claims priority from German Application 192 22 468.0, filed May 19, 1988. Some changes were made in the text of the International Application to conform the enclosed Substitute Specification to US practice and to recite the invention as broadly as described. To assist the Examiner in comparing the Substitute Specification to the enclosed translation of the International Application, the following changes were made in the Substitute Specification including its claims. These changes *do not* need to be entered as an amendment to the Substitute Specification; they have already been included in the Substitute Specification and are merely shown here for the convenience of the Examiner:

Certificate of Express Mailing	
Pursuant to 37 CFR 1.10 I certify that this application is being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
Express Mail Mailing Label No.	Signature of Person Mailing Application
EF285972778US	
Date of Deposit	Printed Name of Person Mailing Application
November 17, 2000	David R. Cleveland